



SUPREME COURT
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CIRCULAR TO ALL CHAMBERS

20 February 2018

Circ. 4 of 2018

AML & CFT – Risk Assessment Questionnaire

The *AML / CFT Risk Assessment Questionnaire* has been devised to assist the Registrar to perform his duties* as a Supervisory Authority appointed under Part I of Schedule 2 of the Proceeds of Crime Act 2015.

****Duties of supervisory authorities.***

30.(1) A supervisory authority must effectively monitor the relevant persons for whom it is the supervisory authority and take necessary measures for the purpose of securing compliance by such persons with the requirements of this Act.

Completed questionnaires will be analysed and used as part of our desk-based supervision process. The latter will in turn contribute to our on-site risk-assessment visits of law firms and sole practitioners during the course of 2018/2019.

The questionnaire is in MS Excel format and should be completed and submitted in this format. Please also find attached, a short *Notes for Completion*, which should assist those tasked with completing the questionnaire.

The deadline for completion of these questionnaires is Friday 16th March 2018.


Liam Yeats
Registrar